CUNO-330.2 PATENT



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Applic	n re Application of:								
BLAZE, M	artin et al.	) \							
Serial No.:	10/764,717	) )							
Filing Date:	January 26, 2004	) )							
TRA	NTER POST SYSTEM FOR ANSPORTING LENTICULAR FILTER RTRIDGE ASSEMBLY	, ) )							

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING DATE OR BEFORE MAILING OF FIRST OFFICE ACTION

SIR:

In accordance with 37 C.F.R. §1.56, the materials listed on the attached PTO-1449 form are being brought to the Examiner's attention for consideration in connection with the examination of the above-identified patent application. Pursuant to 37 C.F.R. 1.98(d), the references cited are not enclosed because complete copies have been submitted in connection with parent application number 09/941,893, filed August 28, 2001, of which this patent application is a continuation patent application. If the Examiner requires copies of any of the

references cited herein; please do not hesitate to contact the undersigned.

Respectfully submitted

<u>41610</u> Date

R. Thomas Payne Reg. No. 30,674

CUNO Incorporated 400 Research Parkway P.O. Box 1018 Meriden, CT 06450-1018 T - (203) 238-8737 F - (203) 238-8716 tpayne@cuno.com

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Date:

Mary K. Rudaellis Mary K. Rudains Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

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Complete if Known Substitute for form 1449A/PTO Application Number 10/764,717 哟INFORMATION DISCLOSURE Filing Date 01/26/2004 STATEMENT BY APPLICANT First Named Inventor BLAZE, Martin et al. Art Unit (use as many sheets as necessary) Examiner Name 2 Sheet of Attorney Docket Number CUNO-330.2

			U.S. PAT	ENT DOCUMENTS	
Examiner Initials	Cite No.1	Document Number  Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 1,630,456	05/31/1927	Wehr	
		US- 2,014,807	09/17/1935	Keyzer	
		US- 2,382,227	08/14/1945	Hopkins et al.	
		US- 2,951,725	09/06/1960	St. Jean	
		US- 3,212,650	10/19/1965	Sharpe et al.	
		US- 3,666,107 ·	05/30/1972	Boggs et al.	
		US- 4,676,396	06/30/1987	Mamolou	
		US- 4,796,779	01/10/1989	Mamolou	
		US- 4,905,870	03/06/1990	Mamolou	
		US- 5,056,842	10/15/1991	Lindenberg et al.	
		US- 5,184,860	02/09/1993	Bouillon et al.	
		US- 5,513,889	05/07/1996	Fithen et al.	
		US- 5,607,584	03/04/1997	Swiatek et al.	
		US- 5,667,679	09/16/1997	Bozenmayer et al.	
	US- 6,139,253		10/31/2000	Battrel	_
		US- 6,306,298	10/23/2001	Diemer	
		US-			

				F	OREIG	N PATENT DOC	UMENTS		
Examiner Initials	Cite No. <sup>1</sup>	Office <sup>3</sup>	Foreign Patent Docume Office <sup>3</sup> Number <sup>4</sup> I		ı)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
		GB	738,043			10/05/1955			
		DE	91 07 271			12/06/1991			
		DE	197 44 574			04/15/1999			
		DE	199 49 613			06/07/2001			
		FR	2 460 154			01/23/1981			
		PCT	WO 93/09054			05/13/1993			
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Examiner	Da	ate	
Signature	Co	onsidered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (opflonal). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Stantard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitu	ite for form 1449B/	PTO .		Co	mplete if Known	
***************************************				Application Number	10/764,717	
INFO	DRMATIC	)N D	ISCLOSURE	Filing Date	01/26/2004	
STA	TEMENT	RY	APPLICANT	First Named Inventor	BLAZE, Martin et al.	
		<b>.</b>	AI I LIOAII I	Art Unit		
	(use as man	y sheets	as necessary)	Examiner Name		
Sheet	2	of	2	Attorney Docket Number	CUNO-330.2	

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
	,	CUNO Product Literature, Zeta Plus 8ZP1P and 12ZIP MODEL, Sanitary Housings, 1995.	
		International Search Report dated 22 January 2002 of PCT/US01/41915	
:			
Examiner Signature		Date Considered	

Examiner	Da	ate
Signature	Co	onsidered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

<sup>&</sup>lt;sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. <sup>1</sup> Applicant's unique citation designation number This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re Application of:								
BLAZE, Martin et al.	)							
Serial No.: 10/764,717	)							
Filing Date: January 26, 2004	)							
Title: CENTER POST SYSTEM FOR TRANSPORTING LENTICULAR FILTER CARTRIDGE ASSEMBLY	, ) )							

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

[X]	1.	Pursuant to 37 CFR 1.97(b) [within 3 months of filing or prior to 1st Office Action]
[]	2.	Certification Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance]
[]	3.	Fee Payment Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance]
[]	4.	Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d) [before issue fee payment]
[X]	5.	A list of documents on form PTO-1449 together with copies of each identified document is enclosed herewith.

This paper is submitted in accordance with:

- [X] 6. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- [] 7. 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and

[]	8.	The required certification made in item 11 below; or							
[]	9.	The \$180.00 fee specified in 37 CFR § 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.							
[]	10.	37 CFR §1.97(d): [before issue fee payment];							
	11.	Each item of information contained in this Statement was cited in a communication from a foreign patent office in a related foreign application not more than three months prior to the filing of this Statement; or							
[]	12.	No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.							
[X]	13. Please charge all applicable fees associated with the submittal of this Inform Disclosure Statement to Deposit Account No. 033879. An original and one of this document is enclosed.								
Date (	4/6/	Respectfully submitted,  R. Thomas Payne Reg. No. 30,674							
400 Re P.O. B Meride T (203 F (203	ox 101	Parkway 8 06450-1018 737 716							
		CERTIFICATE OF MAILING							
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Date: _	Date: 4-7, 2004 Mary Rudaitis								

# INFORMATION DISCLOSURE CITATION APR 0 9 2004 (Use several sheets if necessary)

T	Oocket Number (Optional)	Application Number	
1	CUNO-330.2	10/764,717	
	Applicant(s) BLAZE, Martin et al.		
F	iling Date	Group Art Unit	

	. 6				01/26/2004						
PRADE	W. C.			U.S. PAT	ENT DOCUME	ENTS	•				
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE		NAME		CLASS	SUBCLASS	FILING IF APPRO		
				Auberso	Auberschek						
		2,757,802	08/07/1956	Schmid	<del></del>						
		3,680,706	08/01/1972	Baer et	al.						
		3,294,241	12/27/1966	Sicard e	et al.						
		3,528,554	09/15/1970	Ogden e	et al.						
		3,900,400	08/19/1975	Whitfiel	ld						
		4,635,903	01/13/1987								
		D349,996	08/23/1994								
		D438,684	03/06/2001	Hernan	Hernandez						
				FOREIGN	N PATENT DO	CUMENTS			<del>P</del>		
	REF	DOCUMENT NUMBER	DATE		COUNTRY		CLASS	SUBCLASS	Trans YES	lation NO	
		DE 3829213	08/29/1988	German	ıy (abstract)				<		
		WO 99/19041	04/22/1999	German	y (abstract)				<b>&gt;</b>		
				-							
-				OTHER I	OCUMENTS	(Including A	uthor, Title, l	Date, Pertinent Pag	zes, Etc.)		

**EXAMINER** 

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.